

Please type a plus sign (+) inside this box [+]

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 42.P17570

(maximum 12 characters)

First Named Inventor Vincent Zimmer

Title: METHOD TO PROVIDE HIDDEN INFORMATION IN BINARY DRIVERS VIA STEGANOGRAPHIC TECHNIQUES

Express Mail Label No. EV320119815US

ADDRESS TO: Mail Stop New Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ **Fee Transmittal Form (e.g., PTO/SB/17)**  
(Submit an original, and a duplicate for fee processing)
2. ☐ **Applicant Claims Small Entity Status. (37 CFR 1.27)**
3. ☒ **Specification (Total Pages 35 )**  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference sequence listing, a table,  
or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ **Drawings(s) (35 USC 113) (Total Sheets 9 )**
5. ☒ **Oath or Declaration (Total Pages 4 )**
  - a. ☒ Newly Executed (Original or Copy)
  - b. ☐ Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 17 completed)
    - i. ☐ DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
  - c. ☐ Unsigned.
6. ☐ **Application Data Sheet. (37 CFR 1.76)**
7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ **Assignment Papers (cover sheet & documents(s))**
10. ☐ a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
10. ☒ b. Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ a. Information Disclosure Statement (IDS)/PTO-1449
12. ☐ b. Copies of IDS Citations
13. ☐ **Preliminary Amendment**
14. ☒ **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ **Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**
17. ☒ Other: separate sheet with title, express mail label, copy of postcard and attorney's signature

**18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:**

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)  
Of Prior Application No.: \_\_\_\_\_ Examiner \_\_\_\_\_ Group Art Unit \_\_\_\_\_

(which is a ☐ continuation/ ☐ divisional/ ☐ CIP of prior application no. \_\_\_\_\_,  
which is a ☐ continuation/ ☐ divisional/ ☐ CIP of prior application no. \_\_\_\_\_) (List entire chain of priority)

**For CONTINUATION AND DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18B. Statement under 37 CFR 3.73(b) for continuing application:**

The undersigned states that \_\_\_\_\_ (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel. No. \_\_\_\_\_ Frame No. \_\_\_\_\_ (or a copy of which is attached).

### 19. Correspondence Address

\_\_\_\_\_ Customer Number or Bar Code Label \_\_\_\_\_  
or \_\_\_\_\_ (Insert Customer No. or Attach Bar Code Label here)

☒ Correspondence Address Below

NAME R. Alan Burnett

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard

Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (206) 292-8600 FAX (206) 292-8606

Nam (PRINT/TYPE): R. Alan Burnett Registration No.: 46,149

Signatur : *R. Alan Burnett* Date: Nov 14, 2003

**FEE TRANSMITTAL FOR FY 2004**

(FY 2004 Begins 10/01/2003)

**TOTAL AMOUNT OF PAYMENT (\$)** 1170.00**Complete if Known:****Application No.** New Patent Application**Filing Date** Herewith**First Named Inventor** Vincent J. Zimmer**Examiner Name** Not yet assigned**Art Unit** Not yet assigned**Attorney Docket No.** 42.P17570       **Applicant claims small entity status. See 37 CFR 1.27.****METHOD OF PAYMENT** (check all that apply)☒ **Check** ☐ **Credit Card** ☐ **Money Order** ☐ **Other** ☐ **None**☒ **Deposit Account****Deposit Account Number :** 02-2666**Deposit Account Name:** \_\_\_\_\_☒ **The Director is Authorized to do the following with respect to the above-identified Deposit Account:**☐ **Charge fee(s) indicated below.**☒ **Credit any overpayments.**☒ **Charge any additional fees during the pendency of this application.**☒ **Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged.**☐ **Charge fee(s) indicated below except for the filing fee.****FEE CALCULATION****1. BASIC FILING FEE**

| <u>Large Entity</u>    |             | <u>Small Entity</u> |             | <u>Fee Description</u>             | <u>Fee Paid</u>   |
|------------------------|-------------|---------------------|-------------|------------------------------------|-------------------|
| <u>Fee</u>             | <u>Fee</u>  | <u>Fee</u>          | <u>Fee</u>  |                                    |                   |
| <u>Code</u>            | <u>(\$)</u> | <u>Code</u>         | <u>(\$)</u> |                                    |                   |
| 1001                   | 770         | 2001                | 385         | Utility application filing fee     | <u>770</u>        |
| 1002                   | 340         | 2002                | 170         | Design application filing fee      | <u>          </u> |
| 1003                   | 530         | 2003                | 265         | Plant filing fee                   | <u>          </u> |
| 1004                   | 770         | 2004                | 385         | Reissue filing fee                 | <u>          </u> |
| 1005                   | 160         | 2005                | 80          | Provisional application filing fee | <u>          </u> |
| <b>SUBTOTAL (1) \$</b> |             |                     |             |                                    | <b><u>770</u></b> |

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

| <u>Extra Claims</u>       |            |                |           | <u>Fee from below</u> | <u>Fee Paid</u>      |
|---------------------------|------------|----------------|-----------|-----------------------|----------------------|
| <b>Total Claims</b>       | <u>30-</u> | <b>20** =</b>  | <u>20</u> | <b>X</b>              | <u>18 = 360</u>      |
| <b>Independent Claims</b> | <u>3</u>   | <b>- 3** =</b> | <u>0</u>  | <b>X</b>              | <u>0 = 0</u>         |
| <b>Multiple Dependent</b> |            |                |           |                       | <u>          = 0</u> |

**\*\*Or number previously paid, if greater; For Reissues, see below.**

| <u>Large Entity</u> |             | <u>Small Entity</u> |             | <u>Fee Description</u>                                    |
|---------------------|-------------|---------------------|-------------|---|
| <u>Fee</u>          | <u>Fee</u>  | <u>Fee</u>          | <u>Fee</u>  |   |
| <u>Code</u>         | <u>(\$)</u> | <u>Code</u>         | <u>(\$)</u> |   |
| 1202                | 18          | 2202                | 9           | Claims in excess of 20                                    |
| 1201                | 86          | 2201                | 43          | Independent claims in excess of 3                         |
| 1203                | 290         | 2203                | 145         | Multipl dep ndent claim, if not paid                      |
| 1204                | 86          | 2204                | 43          | **Reissu independent claims over original pat nt          |
| 1205                | 18          | 2205                | 9           | **Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2) \$** 360

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| <u>Larg Entity</u> |             | <u>Small Entity</u> |             | <u>Fee Description</u>   | <u>Fee Paid</u> |
|--------------------|-------------|---------------------|-------------|--|-----------------|
| <u>Fee</u>         | <u>Fee</u>  | <u>Fee</u>          | <u>Fee</u>  |  |                 |
| <u>Code</u>        | <u>(\$)</u> | <u>Code</u>         | <u>(\$)</u> |  |                 |
| 1051               | 130         | 2051                | 65          | Surcharge - late filing fee or oath  |                 |
| 1052               | 50          | 2052                | 25          | Surcharge - late provisional filing fee or cover sheet                     |                 |
| 1053               | 130         | 1053                | 130         | Non-English specification  |                 |
| 1812               | 2,520       | 1812                | 2,520       | For filing a request for ex parte reexamination                            |                 |
| 1813               | 8,800       | 1813                | 8,800       | Request for inter parties reexamination                                    |                 |
| 1804               | 920*        | 1804                | 920*        | Requesting publication of SIR prior to Examiner action                     |                 |
| 1805               | 1,840*      | 1805                | 1,840*      | Requesting publication of SIR after Examiner action                        |                 |
| 1251               | 110         | 2251                | 55          | Extension for reply within first month                                     |                 |
| 1252               | 420         | 2252                | 210         | Extension for reply within second month                                    |                 |
| 1253               | 950         | 2253                | 475         | Extension for reply within third month                                     |                 |
| 1254               | 1,480       | 2254                | 740         | Extension for reply within fourth month                                    |                 |
| 1255               | 2,010       | 2255                | 1,005       | Extension for reply within fifth month                                     |                 |
| 1401               | 330         | 2401                | 165         | Notice of Appeal   |                 |
| 1402               | 330         | 2402                | 165         | Filing a brief in support of an appeal                                     |                 |
| 1403               | 290         | 2403                | 145         | Request for oral hearing   |                 |
| 1451               | 1,510       | 1451                | 1,510       | Petition to institute a public use proceeding                              |                 |
| 1452               | 110         | 2452                | 55          | Petition to revive - unavoidable   |                 |
| 1453               | 1,330       | 2453                | 665         | Petition to revive - unintentional   |                 |
| 1501               | 1,330       | 2501                | 665         | Utility issue fee (or reissue)   |                 |
| 1502               | 480         | 2502                | 240         | Design issue fee   |                 |
| 1503               | 640         | 2503                | 320         | Plant issue fee  |                 |
| 1460               | 130         | 1460                | 130         | Petitions to the Commissioner  |                 |
| 1807               | 50          | 1807                | 50          | Processing fee under 37 CFR 1.17(q)  |                 |
| 1806               | 180         | 1806                | 180         | Submission of Information Disclosure Stmt                                  |                 |
| 8021               | 40          | 8021                | 40          | Recording each patent assignment per property (times number of properties) | 40              |
| 1809               | 770         | 2809                | 385         | For filing a submission after final rejection (see 37 CFR 1.129(a))        |                 |
| 1814               | 110         | 2814                | 55          | Statutory Disclaimer   |                 |
| 1810               | 770         | 2810                | 385         | For each additional invention to be examined (see 37 CFR 1.129(b))         |                 |
| 1801               | 770         | 2801                | 385         | Request for Continued Examination (RCE)                                    |                 |
| 1802               | 900         | 1802                | 900         | Request for expedited examination of a design application                  |                 |
| 1504               | 300         | 1504                | 300         | Publication fee for early, voluntary, or normal pub.                       |                 |
| 1505               | 300         | 1505                | 300         | Publication fee for republication  |                 |
| 1803               | 130         | 1803                | 130         | Request for voluntary publication or republication                         |                 |
| 1808               | 130         | 1808                | 130         | Processing fee under 37 CFR 1.17(i) (except provisionals)                  |                 |
| 1454               | 1,330       | 1454                | 1,330       | Acceptance of unintentionally delayed claim for priority                   |                 |

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3) \$ 40**

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**Typed or Printed Name: R. Alan BurnettSignature: *R. Alan Burnett* Date: Nov 14, 2003Reg. Number: 46,149 Telephone Number: 206-292-8600

Send to: Commission r for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Our Reference: 42.P17570

Patent

TITLE

METHOD TO PROVIDE HIDDEN INFORMATION IN BINARY DRIVERS VIA  
STEGANOGRAPHIC TECHNIQUES

Inventors: Vincent Zimmer and A. Michael Rothman

Respectfully submitted,

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

R. Alan Burnett

R. Alan Burnett  
Reg. No. 46,149

"Express Mail" mailing label number: EV320119815US

Date of Deposit: November 14, 2003

I hereby certify that I am causing this paper or fee to be deposited with  
the United States Postal Service "Express Mail Post Office to Addressee"  
service on the date indicated above and that this paper or fee has been  
addressed to the Mail Stop New Application, Commissioner for Patents,  
P.O. Box 1450, Alexandria, VA 22313-1450

Christina Fernandez

(Typed or printed name of person mailing paper or fee)

Christina Fernandez November 14, 2003

(Signature of person mailing paper or fee)

(Date signed)

|   |  |
|---|--|
| Serial/Patent No. <u>New application</u>  | Filing/Issue Date: <u>Hereafter</u>  |
| Client: <u>Intel Corporation</u>  |  |
| Title: <u>METHOD TO PROVIDE HIDDEN INFORMATION IN BINARY DRIVERS VIA STEGANOGRAPHIC TECHNIQUES</u>            |  |
| BSIZ File No. <u>42-P17570</u>  | Atty/Secy Initials: <u>RAB/CFE</u>   |
| Date Mailed: <u>November 14, 2003</u>   | Docket Due Date: <u>*****</u>  |
| The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:             |  |
| <input type="checkbox"/> Amendment/Response (____ pgs.)   | <input type="checkbox"/> Express Mail No. <u>EV320119815US</u> <input type="checkbox"/> Check No. <u>3222</u>            |
| <input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate)   | <input type="checkbox"/> Month(s) Extension of Time <u>Am't \$1,170.00</u>   |
| <input type="checkbox"/> Application - Utility (____ pgs., with cover and abstract)                           | <input type="checkbox"/> Information Disclosure Statement & PTO-446 (____ pgs.) <input type="checkbox"/> Check No. _____ |
| <input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.)                                  | <input type="checkbox"/> Issue Fee Transmittal   |
| <input type="checkbox"/> Application - Rule 1.53(b) Divisional (____ pgs.)                                    | <input type="checkbox"/> Notice of Appeal  |
| <input type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.)   | <input type="checkbox"/> Petition for Extension of Time  |
| <input type="checkbox"/> Application - Rule 1.53(d) CIP Transmittal (____ pgs.)                               | <input type="checkbox"/> Petition for _____  |
| <input type="checkbox"/> Application - Design (____ pgs.)   | <input type="checkbox"/> Postcard  |
| <input type="checkbox"/> Application - PCT (____ pgs.)  | <input type="checkbox"/> Power of Attorney (____ pgs.)   |
| <input type="checkbox"/> Application - Provisional (____ pgs.)  | <input type="checkbox"/> Preliminary Amendment (____ pgs.)   |
| <input type="checkbox"/> Assignment and Cover Sheet   | <input type="checkbox"/> Reply Brief (____ pgs.)   |
| <input type="checkbox"/> Certificate of Mailing   | <input type="checkbox"/> Response to Notice of Missing Part  |
| <input type="checkbox"/> Declaration & PDA (____ pgs.)  | <input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business                                     |
| <input type="checkbox"/> Disclosure Docs & Orig. & Copy of Inventor's Signed Letter (____ pgs.)               | <input type="checkbox"/> Transmittal Letter (in duplicate)   |
| <input type="checkbox"/> Drawings: <u>9</u> # of sheets includes <u>9</u> figures                             | <input type="checkbox"/> Fee Transmittal (in duplicate)  |
| <input type="checkbox"/> Other: <u>Certificate of mailing with copy of return postcard signed by attorney</u> |  |